

12640US01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
CENTRAL FAX CENTER

AUG 21 2006

In the Application of:

Robert Carcy, et al

Serial No.: 09/480,724

Filed: January 7, 2000

Title: METHOD OF SELECTING  
SECURITIES FOR A PORTFOLIO

Examiner: Harish T. Dass

Group Art Unit: 3628

Confirmation Number: 4660

VIA FACSIMILE

Fax: 571-273-8300

BEST AVAILABLE COPY

## STATUS INQUIRY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This paper is submitted as an inquiry as to the status of the application identified above.

More than six (6) years have passed since the filing of this application on January 7, 2000, accompanied by a return post-card. A Filing Receipt was received February 24, 2000. A Withdrawal from Issue was filed on July 11, 2003. A Notice of Appeal was filed January 11, 2005. A Second Notice of Appeal was filed June 7, 2005. A Request for Continued Examination was filed October 7, 2005. No further communication has been received from the Patent and Trademark Office indicating action on this application.

*please correct! Th. Ma. ?*

Kindly advise the undersigned of the present status of this application.

Respectfully submitted,

Dated: \_\_\_\_\_

McAndrews, Held & Malloy, Ltd.  
34<sup>th</sup> Floor  
500 West Madison Street  
Chicago, IL 60661  
312/775-8000\_\_\_\_\_  
Yufeng Ma  
Reg. No.: 56,975  
Attorney for Applicant(s)

FROM McANDREWS, HELD, & MALLOY

(MON) 8. 21' 06 14:03/ST. 14:02/NO. 4861050638 P 1



McANDREWS, HELD & MALLOY  
34TH FLOOR  
500 WEST MADISON STREET  
CHICAGO, ILLINOIS 60661

RECEIVED  
CENTRAL FAX CENTER

AUG 21 2006

ARO PLEASE DELIVER RETURN RECEIPT TO  
D. ZLATOPER

TELEPHONE: (312) 775-8000

FACSIMILE: (312) 775-8100

## Certificate of Transmission under 37 CFR 1.8

### CONFIDENTIAL

THE ENCLOSED MATERIAL IS INTENDED FOR THE RECIPIENT NAMED BELOW AND, UNLESS OTHERWISE EXPRESSLY INDICATED, IS CONFIDENTIAL AND PRIVILEGED INFORMATION. ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THE ENCLOSED MATERIALS IS PROHIBITED. IF YOU RECEIVE THIS TRANSMISSION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AT OUR EXPENSE, AND DESTROY THE ENCLOSED MATERIALS. YOUR COOPERATION IS APPRECIATED.

TO:	USPTO	FAX NO.:	571-273-8300
FROM:	McAndrews, Held & Malloy	USER ID:	8165
CLIENT:	0536	MATTER:	12640US01

Number of Pages This Transmission (Including Cover Page): 2

I hereby certify that the attached Status Inquiry is being facsimile transmitted to the United States Patent and Trademark Office on 8/21/06

  
Yufeng Ma Reg. No. 56,975

*If you have problems receiving this facsimile transmission, please contact the sender at the above telephone number.*